

₽TO/SB/21 (08-00)

Please type a plus sign (+) inside this box -> [+] Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. 09/963,858 **Application Number** RANSMITTAL Filing Date September 25, 2001 JAN 4 2002 FORM First Named Inventor Frechet, Jean J. sed for all correspondence after initial filing) Group Art Unit 1711 **Examiner Name** Not Yet Assigned Total Number of Pages in This Submission Attorney Docket Number 18062G-003510US ENCLOSURES (check all that apply) After Allowance Communication to Assignment Papers Fee Transmittal Form (for an Application) Appeal Communication to Board of Fee Attached Drawing(s) Appeals and Interferences Appeal Communication to Group Amendment / Response Licensing-related Papers (Appeal Notice, Brief, Reply Brief) Petition Routing Slip (PTO/SB/69) After Final Proprietary Information and Accompanying Petition Petition to Convert to a Affidavits/declaration(s) Status Letter Provisional Application Power of Attorney, Revocation Other Enclosure(s) Extension of Time Request Change of Correspondence Address (please identify below): Terminal Disclaimer - Return Postcard Express Abandonment Request - Declaration Request for Refund - Application Data Sheet ☐ Information Disclosure Statement - Power of Attorney or Authorization of Agent CD, Number of CD(s) - 3.73(b) Statement (copy of Assignment) - Verified Statement - Notice to File Missing Parts The Commissioner is authorized to charge any additional fees to Certified Copy of Priority Deposit Account 20-1430. Remarks Document(s) Response to Missing Parts/ Applicants petition to extend the period for filing a response in the above-identified Incomplete Application application for one month, from December 31, 2001 to January 31, 2002. A Fee Response to Missing Transmittal authorizing the Commissioner to charge the petition fee to our deposit Parts under 37 CFR account is attached. 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Townsend and Townsend and Crew LLP Firm and Jeffry S. Mann Reg. No. 42,837 Individual name Signature Date January 2, 2002 **CERTIFICATE OF MAILING** I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: January 2, 2002 Typed or printed name Kathryn A. Degliantoni Signature Date January 2, 2002 athus

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2 JAN 2		5	for FY 2	2001	Filing	Date		September 25, 2001					
PATENT STATE OF W.	4 2	2002 (4)				Named In	ventor	Frechet, Jean J.					
		. e./ 1	Patent fees are subject to annual revision.			Examiner Name			Not Yet Assigned				
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			METHOD OF PAY	MENT		FEE CALCULATION (continued)							
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The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:					3. ADDITIONAL FEES							
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Account	Towns	send and	d Townsend and Crev	v LLP		139	130	139	130	Non-English specification		
Name .	L				j	147	2,520	147	2,520	For filing a request for reexamination		
	CFR 1.16	and 1.1	1 7 `			112	920*	112	920*	Requesting publication of SIR prior to Examiner action		
	CFR 1.27		y status.			113	1,840°	113	1,840*	Requesting publication of SIR after Examiner action		
2. 🗌 Paymo	ent Enclo	sed:				115	110	215	55	Extension for reply within first month	55	
☐ Check	☐ Cre	dit card	Money Order	☐ Other		116	400	216	200	Extension for reply within second month		
		FFF C	ALCULATION			117	920	217	460	Extension for reply within third month		
1. BASIC F	ILING FE		ACCULATION			118	1,440	218	720	Extension for reply within fourth month		
Large Entity	Small I	Entity				128	1,960	228	980	Extension for reply within fifth month		
Fee Fee			Fee Description			119	320	219	160	Notice of Appeal		
Code (\$)		(\$)	Liana en	Fee Paid	_	120	320	220	160	Filing a brief in support of an appeal		
101 740 106 330			Utility filing fee			121	280	221	140	Request for oral hearing 866 Petition to institute a public use 66		
106 330 107 510			Design filing fee Plant filing fee		1	138	1,510	138	1,510	proceeding		
108 740			Reissue filing fee		_	140	110	240	55	Petition to revive – unavoidable Petition to revive – unintentional Utility Issue fee (or reissue)	ļ	
114 160	214	BO	Provisional filing fee			141	1,280	241	640	Petition to revive – unintentional	<u> </u>	
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dependent aims	-3**	-	×	7		126	180	126	180	applications Submission of Information Disclosube Stmt		
ultiple ependent			x] = 🗀	<u>ַ</u>	581	40	581	40	Recording each patent assignment approperty (times number of properties)	23	
_arge Entity Fee Fee	Small Fee	Entity Fee				146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	2:0	
Code (5) 103 18	Code 203	(\$) 9	Fee Description Claims in excess o	f 20		149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	95	
102 84	202	42	Independent claim:			179	740	279	370	Request for Continued Examination (RCE)		
104 280	204	140	Multiple dependent								ļ	
09 84	209	42	** Reissue indeper original patent			169	900	169	900	Request for expedited examination of a design application		
110 18	210	9	** Reissue claims i over original patent		nd		e (specif		dhad	ed to aborno one additional face to		
SUBTOTAL (2) (\$)						The Commissioner is authorized to charge any additional fees to the above noted Deposit Account.						
			For Reissues, see abo		-	*Reduc	ed by Ba	sic Filin	Fee Pa	aid SUBTOTAL (3) (\$)120		

SUBMITTED BY				Co	omplete (if applicable)
Name (Print/Type)	Jeffry S. Mann	Registration No. (Attorney/Agent)	42,837	Telephone	415-576-0200
Signature	-			Date	January 2, 2002

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WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER FILING/RECEIPT DATE FIRST NAMED APPLICANT ATTORNEY DOCKET NUMBER

09/963,858

09/25/2001

Jean J. Frechet

18062G-003510US

CONFIRMATION NO. 1612

20350 TOWNSEND AND TOWNSEND AND CREW TWO EMBARCADERO CENTER EIGHTH FLOOR SAN FRANCISCO, CA 94111-3834 FORMALITIES LETTER

OC000000007005605

Date Mailed: 10/31/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$65.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 3 - OFFICE COPY